

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q80898

Helmut HAIDNER, et al.

Appln. No.: 10/816,896

Group Art Unit: 2877

Confirmation No.: 2639

Examiner: Not Yet Assigned

Filed: April 2, 2004

For: DEV

DEVICE AND METHOD FOR WAVEFRONT MEASUREMENT OF AN OPTICAL

IMAGING SYSTEM BY MEANS OF PHASE-SHIFTING INTERFEROMETRY

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/816,896

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a German Search Report

from a foreign patent office in a counterpart application citing the submitted document DE 36 50

220 T2, together with an English-language version (if not already included) of at least that

portion of the German Search Report indicating the degree of relevance found by the foreign

patent office.

In addition, a concise statement of relevance for the submitted document DE 101 09 929

A1 is found in the Background discussion of the above-identified application. As noted on the

enclosed Form PTO/SB/08, this reference also has an English-language counterpart in US

2002/0001088A1.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 36,359

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: September 17, 2004

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Substitute for Form 1449 A & B/F 10					Application Number	10/816,896	
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STATEMENT BY APPLICANT					Filing Date	April 2, 2004	
				First Named Inventor	Helmut HAIDNER		
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<b>2.</b>	Sheet	1	of	1	Attorney Docket Number	Q80898	
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U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Nu		Publication Date			
		Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
***		US 2002/0001088	A1	01-03-2002	Wegmann et al.		
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		DE	36 50 220	T2	03/12/1986	Adaptive Optics Assoiciates, Inc.	
		DE	101 09 929	A1	11/22/2001	Carl Zeiss	Corresp. to US '088
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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>	
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Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.